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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Carol Felenstein Name of Mailing Individual

UTILITY PATENT APPLICATION TRANSMITTAL

COMMISSIONER OF PATENTS Attorney Docket No. 3499-86 **Box Patent Application** Prior Application Number: 60/180,076 Washington, D.C. 20231 U.S. Serial No. 09/413,270 U.S. Serial No.: 09/413,150

Sir: Transmitted herewith for filing is a utility patent application of: Andrew F. Silverman, Metthew Lavicka and David W. Ngai For: ORDER CENTRIC TRACKING SYSTEM AND PROTOCOL FOR COMMUNICATIONS WITH HANDHELD TRADING UNITS ENCLOSED are the following: Specification, abstract and claims of 49 pages. ☐ 11 sheets of ☐ formal ☐ informal drawings ☐ No drawings. 3. Declaration signed by the named inventor(s). Newly executed (original or copy) Copy from a prior application (for continuation/divisional) Preliminary Amendment Information Disclosure Statement Other: Return receipt postcard NOTE the following: Applicant is a small entity, which was established in parent application Serial No. <<TEXT>> and such status is still proper and desired. The prior application is assigned to (Recordation cover sheet and accompanying papers) This application is a: Continuation Divisional Continuation-in-Part (CIP) of Prior Application

10.	Priority of the follow	application(s) is (are) claimed under 35	.C.	119

Serial No.	Date Filed	Country	Certified Copy of Priority Doc. F USSN or PCT# Date	iled
60/157,987	October 6, 1999	US		
09/413,270	October 6, 1999	US		
09/413,150	October 6, 1999	US		

11. [An I	Extensi	on of	Time	is f	filed	concurrently	herewith	for the	parent	application.
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Cancel cla	ims prior	to calculation	of the	filing t	fee.
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FILING FEE: calculated below (after accounting for any preliminary amendment or claims cancellations if noted above):

\boxtimes	Total Claims	6	Total Claims Subject to Fees:	
\boxtimes	Independent Claims	6	Total Claims Subject to	\$240.00
			Fees: 3	
	Multiple Dependent			\$
	Claims			
\boxtimes	Basic Filing Fee			\$710.00
	Extension Fees			\$
	Sub-Total			\$950.00
	Less Small Entity Fee			\$
	Reduction			
	Assignment Recordal Fees			
	Total Fees			\$950.00

\boxtimes	A check in the amount ofis enclosed (must at least cover the basic fee). If no check or an
	insufficient check is enclosed and a fee is due herewith, the Commissioner is authorized to charge
	any fee or additional fee due in connection herewith to Deposit Account No. 50-0521. A
	duplicate of this sheet is enclosed.

In the event that a pet. In for extension of time is required to be standitted in the pending prior application, and in the event that a separate petition for the pending prior application is not filed on even date, applicant hereby petitions under 37 C.F.R.1.136(a) for an extension of time in the pending prior application of as many months as are required to render this submission timely. The Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 50-0521. A duplicate copy of this sheet is enclosed.

Date: Oct. 6, 7000

Respectfully submitted,

By: Joseph P. Kincart Registration No.: 43,716

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